



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Charles E. Taylor et al.  
Appl. No.: 10/074,096  
Confirm. No.: 9062  
Filed: February 12, 2002  
Title: Electro-Kinetic Air Transporter and Conditioner  
Device with Enhanced Anti-Microorganism Capability

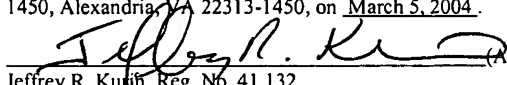
PATENT APPLICATION

Art Unit: 1753  
Examiner: Kishor Mayekar

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on March 5, 2004.

  
Jeffrey R. Kutih, Reg. No. 41,132  
Signature Date: March 5, 2004

(Attorney Signature)

**FOURTH INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- ☐ The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at [pac/dapp/opla/preognotice/idswouscopies.htm](http://pac/dapp/opla/preognotice/idswouscopies.htm), copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required.
- ☒ The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.
- ☐ If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily

available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

*This statement should be considered because:*

X 37 CFR §1.97(b). This statement qualifies under 37 CFR §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114.

X **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: March 5, 2004

By:   
Jeffrey R. Kurin, Reg. No. 41,132

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FORM PTO-1449

(Substitute)

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

Attorney Docket Number

SHPR-01028US4

Serial No.

10/074,096

INFORMATION DISCLOSURE CITATION  
BY APPLICANT

Applicant

Charles E. Taylor et al.

Filing Date

February 12, 2002

Group Art Unit

1753

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Examiner Initial		Patent Number	Issue Date	Name	Class	Subclass	Filing Date
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Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
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**PENDING U.S. PATENT APPLICATIONS**

Examiner Initial	Application Number	Filing Date	Inventor	Petition to Expunge	
				Yes	No
53.	10/278,193	10/21/2002	Reeves		X
54.	10/405,193	4/1/2003	Taylor		X

FORM PTO-1449

(Substitute)

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
	55.	WO00/10713 A1	3/2/2000	International	✓	
	56.	WO01/64349 A1	9/7/2001	International	✓	
	57.	WO01/85348 A2	11/15/2001	International	✓	
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	60.	WO02/30574 A1	4/18/2002	International	✓	
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	66.	EP 0433152 A1	12/10/1990	Europe	✓	
	67.	CN 2111112 U	7/29/1972	China	✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Non-Asterisked Items: Copies not submitted because they were submitted in prior application, filed, and relied upon under 35 USC §120.